

# Homomorphic encryption

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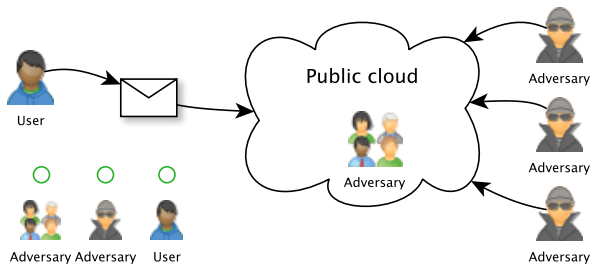
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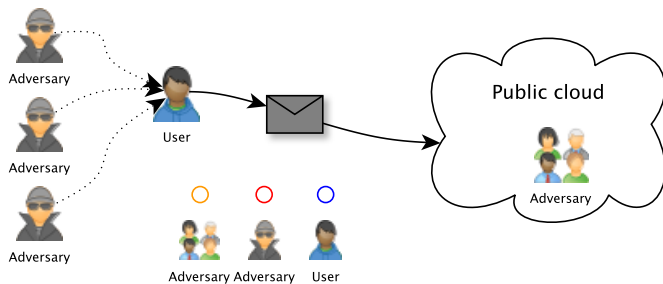
# Outline

- 1 Introduction
- 2 Partially homomorphic encryption
- 3 “Somewhat” homomorphic encryption
- 4 Fully homomorphic encryption
- 5 Public-key homomorphic encryption
- 6 Conclusions

# Cloud computations



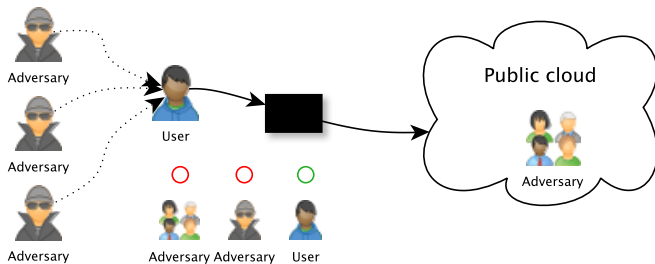
# Encrypted cloud computations



It would be nice to be able to ...

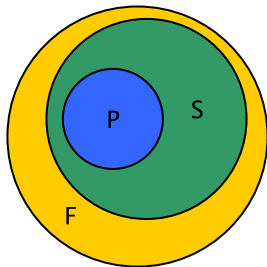
- encrypt data in the cloud
  - ↪ while still allowing the cloud to search, sort, edit ...
- keep the data in the cloud in encrypted form
  - ↪ without need to encrypt/decrypt every time
- encrypt queries to the cloud
  - ↪ while still allowing the cloud to process them
  - ↪ the cloud returns encrypted answers

# Cloud computations with homomorphic encryption



# What is a homomorphic encryption (HE)?

- An encryption scheme:  $(KeyGen, Enc, Dec)$ 
  - ★  $(pk, sk) = KeyGen(rnd)$ ,  $c_i = Enc_{pk}(m_i)$ ,  $m_i = Dec_{sk}(c_i)$
- A homomorphic encryption scheme:  $(KeyGen, Enc, Dec, EvalEval)$ 
  - ★  $\{c'_i\} = Eval_{pk}(f, \{c_i\})$
  - ★  $\{c'_i\} = Eval_{pk}(f, \{c_i\})$ ,  $Dec_{sk}(Eval_{pk}(f, \{Enc_{pk}(x_i)\})) = f(\{x_i\})$



P - partially

S - "somewhat"

F - full

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# Partially homomorphic encryption schemes

## RSA

*KeyGen*:  $N = p \cdot q$ , where  $p$  and  $q$  large prime numbers  
 $\gcd(e, \phi(N)) = 1 \Rightarrow d \cdot e \equiv 1 \pmod{\phi(N)}$

*Enc*:  $c \equiv m^e \pmod{N}$

*Dec*:  $m \equiv c^d \pmod{N}$

*Enc*( $m_1$ ) · *Enc*( $m_2$ ) = *Enc*( $m_1 \cdot m_2$ ):

$$c_1 \equiv m_1^e \pmod{N} \quad c_2 \equiv m_2^e \pmod{N}$$

$$c_1 \cdot c_2 \equiv m_1^e \cdot m_2^e \equiv (m_1 \cdot m_2)^e \pmod{N}$$

# Partially homomorphic encryption schemes

- RSA, ElGamal work for multiplication
- Paillier, Benaloh work for addition
- Goldwasser-Micali works for XOR
- MGH'08 works for degree  $d$  polynomials
- ...

# $(+, \cdot)$ -Homomorphic encryption

It would be really nice to have ...

- Plaintext space  $\mathbb{Z}_N$
- Ciphertext space  $\mathbb{Z}_N$
- Homomorphic  $Enc(x)/Eval(x)$  for both “+” and “.”

$$Enc(m_1) + Enc(m_2) \equiv Enc(m_1 + m_2 \pmod{N})$$

$$Enc(m_1) \cdot Enc(m_2) \equiv Enc(m_1 \cdot m_2 \pmod{N})$$

- Then we can compute many useful functions on ciphertexts

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# Breakthrough

- Gentry'09: a bootstrapping technique
  - ★ “Somewhat” homomorphic → Fully homomorphic
- Gentry also described a candidate “bootstrappable” scheme
  - ★ Based on ideal lattices
- Gentry’s scheme was complex
  - ★ it used advanced algebraic number theory
- Can it be simpler?
  - ★ polynomials, matrices ... [integers](#)

## Why (XOR, AND)?

- because XOR and AND gives a Turing-complete system
  - ↪ if we can compute XOR and AND on encrypted bits
    - ↪ we can compute ANY function on encrypted inputs

# A secret-key homomorphic encryption

## Dijk, Gentry, Halevi and Vaikuntanathan [DGHV'10]

Secret key: large odd number  $p$

Encryption steps of a bit  $m$ :

Choose at random large  $q$  and small  $r$

$$\text{Enc} : c = p \cdot q + 2 \cdot r + m$$

$\hookrightarrow 2 \cdot r + m$  much smaller than  $p$

$\hookrightarrow$  ciphertext is close to a multiple of  $p$

$$\text{Dec} : m \equiv (c \bmod p) \bmod 2$$

Parameters:  $|r| = n$ ,  $|p| = n^2$  and  $|q| = n^5$

# Why is this homomorphic?

$$c_1 = p \cdot q_1 + 2 \cdot r_1 + m_1 \quad c_2 = p \cdot q_2 + 2 \cdot r_2 + m_2$$

## Adding (XORing) two encrypted bits

$$c_1 + c_2 = (q_1 + q_2) \cdot p + 2 \cdot (r_1 + r_2) + (m_1 + m_2)$$

↪ if  $2 \cdot (r_1 + r_2) + (m_1 + m_2)$  much smaller than  $p$

$$\hookrightarrow (c_1 + c_2 \bmod p) \bmod 2 \equiv m_1 + m_2 \pmod{2}$$

## Multiplying (ANDing) two encrypted bits

$$\begin{aligned} c_1 \cdot c_2 &= q_1 q_2 p^2 + 2q_1 p r_2 + q_1 m_2 p + 2q_2 p r_1 + 4r_1 r_2 + 2r_1 m_2 + \\ &\quad q_2 m_1 p + 2m_1 r_2 + m_1 m_2 = \\ &\quad (q_1 q_2 p + 2q_1 r_2 + q_1 m_2 + 2q_2 r_1 + q_2 m_1) p + \\ &\quad 2(2r_1 r_2 + r_1 m_2 + m_1 r_2) + m_1 m_2 \end{aligned}$$



# Why is this homomorphic?

$$c_1 = p \cdot q_1 + 2 \cdot r_1 + m_1 \quad c_2 = p \cdot q_2 + 2 \cdot r_2 + m_2$$

## Multiplying (ANDing) two encrypted bits (continue)

$$c_1 \cdot c_2 = \dots = (q_1 q_2 p + 2q_1 r_2 + q_1 m_2 + 2q_2 r_1 + q_2 m_1)p + 2(2r_1 r_2 + r_1 m_2 + m_1 r_2) + m_1 m_2$$

$$c_1 q_2 = q_1 q_2 p + 2q_2 r_1 + q_2 m_1$$

$$c_2 q_1 = q_1 q_2 p + 2q_1 r_2 + q_1 m_2$$

$$c_1 \cdot c_2 = (c_1 q_2 + c_2 q_1 - q_1 q_2 p)p + 2(2r_1 r_2 + r_1 m_2 + m_1 r_2) + m_1 m_2$$

$\hookrightarrow$  if  $2(2r_1 r_2 + \dots) + m_1 m_2$  much smaller than  $p$

$$\hookrightarrow (c_1 \cdot c_2 \bmod p) \bmod 2 \equiv m_1 \cdot m_2 \pmod{2}$$

## Example

Secret key: choose  $p = 99$

Encryption of  $m_1 = 1$  and  $m_2 = 1$ :

Choose  $q_1 = 37, r_1 = 3$  and  $q_2 = 82, r_2 = 2$

$$c_1 = 99 \cdot 37 + 2 \cdot 3 + 1 = 3670 \quad c_2 = 99 \cdot 82 + 2 \cdot 2 + 1 = 8123$$

Evaluate  $m_1 \oplus m_2$  and  $m_1 \cdot m_2$ :

$$c_1 + c_2 = 11793 \quad c_1 \cdot c_2 = 29811410$$

Decryption results in:

$$m_1 + m_2 \equiv (11793 \bmod 99) \bmod 2 \equiv 12 \bmod 2 \equiv 0 \pmod{2}$$

$$m_1 \cdot m_2 \equiv (29811410 \bmod 99) \bmod 2 \equiv 35 \bmod 2 \equiv 1 \pmod{2}$$

# Two issues

- **Ciphertext grows** with each operation
  - ↪ if  $|c_1| = |c_2| = n$  then  $|c_1 + c_2| = n + 1$  and  $|c_1 \cdot c_2| = 2 \cdot n$ 
    - ↪ we can do lots of additions and some multiplications  
(“somewhat” homomorphic encryption)
- **Noise grows** with each operation
  - ↪ it **doubles on addition** and **squares on multiplication**
    - ↪ after some operations ( $|\text{noise}| > \frac{p}{2}$ ) the ciphertext becomes “unrecoverable”

# How secure is this?

## Trivial attack

- if there was no noise ( $r_1 = 0$  and  $r_2 = 0$ )
  - ↪ and encrypted two 0 bits, that is  $c_1 = q_1p$ ,  $c_2 = q_2p$
  - ↪ then the secret key  $p = \text{GCD}(q_1p, q_2p)$

## Other cases

- there is noise
  - ↪ the GCD attack doesn't work
  - ↪ this is called the approximate GCD assumption

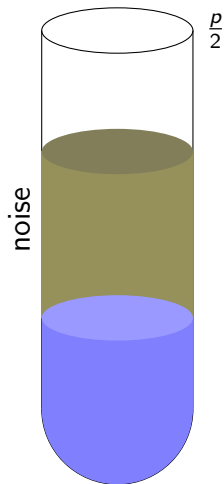
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# Gentry's "bootstrapping" theorem

## Theorem [Gentry'09]

If an encryption scheme can evaluate its own decryption circuit, then it can evaluate everything.



- **Problem:** addition and multiplication increase noise
  - $\hookrightarrow$  addition
  - $\hookrightarrow$  multiplication
- **Goal:** somehow reduce the noise
- What is the best noise-reduction procedure?
  - $\hookrightarrow$  **decryption**
    - $\hookrightarrow$  **Problem:** key is secret
    - $\hookrightarrow$  **Goal:** reduce noise without publishing secret key

# Reduce noise “somewhat” secure

$$Dec_{sk_1}(Eval_{pk_1}(f, \{Enc_{pk_1}(x_i)\})) = f(\{x_i\})$$

$$Dec_{sk_1}(Eval_{pk_1}(Enc_{pk_1}, \{Enc_{pk_1}(x_i)\})) = Enc_{pk_1}(\{x_i\})$$

$$Dec_{sk_1}(Eval_{pk_1}(Dec_{sk_1} \circ Enc_{pk_1}, \{Enc_{pk_1}(x_i)\})) = \{x_i\}$$

$$Dec_{sk_1}(Eval_{pk_1}(Enc_{pk_2} \circ Dec_{sk_1} \circ Enc_{pk_1}, \{Enc_{pk_1}(x_i)\})) = Enc_{pk_2}(\{x_i\})$$

$$Enc_{pk_2} \circ Dec_{sk_1} \circ Enc_{pk_1} = h$$

$$Dec_{sk_1}(Eval_{pk_1}(h, \{Enc_{pk_1}(x_i)\})) = Enc_{pk_2}(\{x_i\})$$

$$Dec_{sk_2} \circ Enc_{pk_2}(\{x_i\}) = \{x_i\}$$

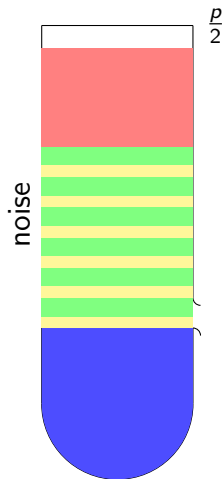


# Reduce noise

$$\begin{aligned} Dec_{sk_1} (Eval_{pk_1} (f, \{Enc_{pk_1} (x_i)\})) &= f (\{x_i\}) \\ c_1 = Enc_{pk_1} (m) \quad c_2 = Enc_{pk_1} (pk_2) \quad f = Enc \end{aligned}$$

$$\begin{aligned} c_3 &= Eval_{pk_1} (Enc, \{c_1, c_2\}) \\ Dec_{sk_1} (c_3) &= Enc_{pk_2} (m) \end{aligned}$$

$$\begin{aligned} c_4 &= Enc_{pk_1} (sk_2) \quad f = Dec \\ c_5 &= Eval_{pk_1} (Dec, \{c_3, c_4\}) \\ Dec_{sk_1} (c_5) &= m \end{aligned}$$



- $Enc_{pk_u}$  and  $Dec_{sk_u}$
- $(XOR, AND)^t$
- $Enc_{pk_i}$
- $Dec_{sk_i}$
- Repeat

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# A public-key homomorphic encryption

## Dijk, Gentry, Halevi and Vaikuntanathan [DGHV'10]

**Secret key:** large odd number  $p$

**Public key:** a set  $X$  of large numbers  $\{x_1, x_2, \dots, x_i\}$  such that  $x_j \equiv 2 \cdot r_j \pmod{p}$   $x_j \equiv 2 \cdot r_j \pmod{p} \Rightarrow x_j = p \cdot q_j + 2 \cdot r_j$

**Encryption steps of a bit  $m$ :**

Choose at random  $r$  and for  $Y \subset X$  calculate  $b = \sum Y$

$Enc_{pk}(m) : c = b + 2 \cdot r + m$

$Dec_{sk}(c) : m \equiv (c \bmod p) \bmod 2$

$Eval_{pk}(f, \{c_i\})$ : as before

# Homomorphic properties

$$c_1 = p \cdot \sum_k q_k + 2 \cdot \sum_k r_k + m_1 \quad c_2 = p \cdot \sum_h q_h + 2 \cdot \sum_h r_h + m_2$$

## Addition of two encrypted bits

$$c_1 + c_2 = \left( \sum_k q_k + \sum_h q_h \right) \cdot p + 2 \cdot \left( \sum_k r_k + \sum_h r_h \right) + (m_1 + m_2)$$

$$m_1 + m_2 \equiv (c_1 + c_2 \bmod p) \bmod 2$$

## Multiplication of two encrypted bits

Assume  $Q_1 = \sum_k q_k$ ,  $R_1 = \sum_k r_k$ ,  $Q_2 = \sum_h q_h$ ,  $R_2 = \sum_h r_h$

$$c_1 \cdot c_2 = (c_1 Q_2 + c_2 Q_1 - Q_1 Q_2 p) p + 2(2R_1 R_2 + R_1 m_2 + m_1 R_2) + m_1 m_2$$

$$m_1 \cdot m_2 \equiv (c_1 \cdot c_2 \bmod p) \bmod 2$$

# Example

Secret key:  $p = 233$

Public key:  $X = \{31955, 36362, 36627, 40098, 45718\}$

Encryption of  $m_1 = 0$  and  $m_2 = 1$ :

Choose  $r_1 = 18$ ,  $r_2 = 5$  and  $Y = \{31955, 40098\}$

$$c_1 = 72053 + 2 \cdot 18 + 0 = 72089 \quad c_2 = 72053 + 2 \cdot 5 + 1 = 72064$$

Evaluate  $m_1 \oplus m_2$  and  $m_1 \cdot m_2$ :

$$c_1 + c_2 = 144153 \quad c_1 \cdot c_2 = 5195021696$$

Decryption results in:

$$m_1 \equiv (72089 \bmod 233) \bmod 2 \equiv 92 \bmod 2 \equiv 0 \pmod{2}$$

$$m_2 \equiv (72064 \bmod 233) \bmod 2 \equiv 67 \bmod 2 \equiv 1 \pmod{2}$$

$$m_1 + m_2 \equiv (144153 \bmod 233) \bmod 2 \equiv 159 \bmod 2 \equiv 1 \pmod{2}$$

$$m_1 \cdot m_2 \equiv (5195021696 \bmod 233) \bmod 2 \equiv 106 \bmod 2 \equiv 0 \pmod{2}$$

# Conclusions

- Gentry gave the first feasible result
  - ↪ showing that it can be done “in principle”
- Bootstrapping technique allows transform SHE to FHE
  - ↪ reduce performance dramatically
- New FHEs without bootstrapping
  - ↪ potentially leads to practical implementations
- Lack of independent security checks
  - ↪ public key encryption with homomorphic properties